

| FORM PTO 1390<br>(REV 11-2000) U.S. DEPARTMENT O   | ATTORNEY'S DOCKET NUMBER  DNAG 227 – PFF/JRC  |  |  |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|--|--|
| TRANSMITTAL LETTER TO  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)   |  |  |  |  |  |  |  |  |
| DESIGNATED/ELECTED   | 09/980182   |  |  |  |  |  |  |  |  |
| CONCERNING A FILING INTERNATIONAL APPLICATION NO.  | PRIORITY DATE CLAIMED   |  |  |  |  |  |  |  |  |
| PCT/EP00/05122   | INTERNATIONAL FILING DATES  5 June 2000   | 4 June 1999                            |  |  |  |  |  |  |  |
| TITLE OF INVENTION   |   |  |  |  |  |  |  |  |  |
| COATING METHODS AND COATING MIXTURE  |   |  |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US Georg  | g GROS  |  |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States  | Designated/Elected Office (DO/EO/US) the  | following items and other information: |  |  |  |  |  |  |  |
| 1. x This is a <b>FIRST</b> submission of ite  | ems concerning a filing under 35 U.S.C.   | 371.                                   |  |  |  |  |  |  |  |
| 2. This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing 35 U.S.C. 371  |   |  |  |  |  |  |  |  |  |
| This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |  |  |  |  |  |  |  |  |
| 4. The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).   |   |  |  |  |  |  |  |  |  |
| 5. X A copy of the International Application as filed (35 U.S.C. 371 (c)(2))   |   |  |  |  |  |  |  |  |  |
| a. X is attached hereto (required only if not communicated by the International Bureau).   |   |  |  |  |  |  |  |  |  |
| b. X has been communicated by th   | b X has been communicated by the International Bureau.  |  |  |  |  |  |  |  |  |
| e. is not required, as the application was filed in the United States Receiving Office (RO/US).  |   |  |  |  |  |  |  |  |  |
| 6. X An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).   |   |  |  |  |  |  |  |  |  |
| a. X is attached hereto.   | a. X is attached hereto.  |  |  |  |  |  |  |  |  |
| b has been previously submitted  | b has been previously submitted under 35 U.S.C. 154(d)(4).  |  |  |  |  |  |  |  |  |
| 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))   |   |  |  |  |  |  |  |  |  |
| a. are attached hereto (required only if not communicated by the International Bureau).  |   |  |  |  |  |  |  |  |  |
| b. 🔀 have been communicated by t   | b. Annual base been communicated by the International Bureau.   |  |  |  |  |  |  |  |  |
| c. have not been made; however   | c. have not been made; however, the time limit for making such amendments has NOT expired.                        |  |  |  |  |  |  |  |  |
| d have not been made and will i  | d have not been made and will not be made.  |  |  |  |  |  |  |  |  |
| 8. An English language translation of  | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).      |  |  |  |  |  |  |  |  |
| 9. An oath or declaration of the inve  | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).   |  |  |  |  |  |  |  |  |
| An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).                    |   |  |  |  |  |  |  |  |  |
| Items 11 to 20 below concern document(s  | s) or information included:   |  |  |  |  |  |  |  |  |
| 11. X An Information Disclosure Staten   | nent under 37 CFR 1.97 and 1.98.  |  |  |  |  |  |  |  |  |
| 12. An assignment document for reco  | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. |  |  |  |  |  |  |  |  |
| 13. X A FIRST preliminary amendment  | X A FIRST preliminary amendment.  |  |  |  |  |  |  |  |  |
| 14. A SECOND or SUBSEQUENT p   | A SECOND or SUBSEQUENT preliminary amendment.   |  |  |  |  |  |  |  |  |
| 15. A substitute specification.  | . A substitute specification.   |  |  |  |  |  |  |  |  |
| A change of power of attorney and/or address letter.   |   |  |  |  |  |  |  |  |  |
| 17. A computer-readable form of the  | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. |  |  |  |  |  |  |  |  |
| 18. A second copy of the published in  | A second copy of the published international application under 35 U.S.C. 154(d)(4).                               |  |  |  |  |  |  |  |  |
| 19. A second copy of the English lan   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).     |  |  |  |  |  |  |  |  |
| 20. Other items or information: PCI  | PIPEA/416: PCT/ISA 210  |  |  |  |  |  |  |  |  |

| U.S. APPLICATION (if known see 7 psr 4 s)  |  |                           | ATTORNEY'S DOCKET NUMBER |  |                           |          |  |  |  |
|--|--|---------------------------|--------------------------|--|---------------------------|----------|--|--|--|
| 0 / 7  | SAPPLICATION OF SERVICE STORY OF SERVICE |                           |                          | DNAG 227- PFF/JRC                                |                           |          |  |  |  |
| 17. X The following fees are submitted:  |  |                           |                          |  | CALCULATIONS PTO USE ONLY |          |  |  |  |
| BASIC NATIONAL FE  |  | ,                         |                          |  |                           |          |  |  |  |
| Neither international  | l  |                           |                          |  |                           |          |  |  |  |
| nor international sea<br>and International Sea   |  |                           |                          |  |                           |          |  |  |  |
| X International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00   |  |                           |                          |  |                           |          |  |  |  |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00  |  |                           |                          |  |                           |          |  |  |  |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00   |  |                           |                          |  |                           |          |  |  |  |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)  |  |                           |                          |  |                           |          |  |  |  |
|  | APPROPRIATE BA   |                           |                          | \$   | 890.00                    |          |  |  |  |
| Surcharge of \$ 13   | 30.00 for furnishing   | the oath or declaration   | later than               |  |                           |          |  |  |  |
| 20 X 30 month  | s from the earliest claime   |                           |                          | S  | 130.00                    |          |  |  |  |
| CLAIMS   | NUMBER FILED   | NUMBER EXTRA              | RATE                     | <del>                                     </del> |                           |          |  |  |  |
| Total claims   | 15-20 =  | 0                         | X                        | S  |                           |          |  |  |  |
| Independent claims   | 1-3 =  | 1                         | X                        | S  |                           |          |  |  |  |
| MULTIPLE DEPENDE   | NT CLAIM(s) (if appli  | cable)                    | X                        | S  |                           |          |  |  |  |
|  |  | L OF ABOVE CALCU          |                          | S  | 1020.00                   |          |  |  |  |
| X Applicant claims stare reduced by ½.   | mall entity status. See I  | 37 CFR 1.27. The fees     | indicated above          | S  |                           |          |  |  |  |
| SUBTOTAL =   |  |                           |                          |  | 1020.00                   |          |  |  |  |
| Processing fee of S  | for furni  | shing the English transla | ition later than         |  |                           |          |  |  |  |
| 1  | s from the earliest claim  |                           |                          | \$   |                           |          |  |  |  |
| TOTAL NATIONAL FEE =   |  |                           |                          |  | 1000.00                   |          |  |  |  |
| E. C   | -1   |                           |                          | S  | 1020.00                   |          |  |  |  |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). Assignment Must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31)   |  |                           |                          |  | 00                        |          |  |  |  |
| ( per property).   |  |                           |                          |  |                           |          |  |  |  |
| TOTAL FEES ENCLOSED =  |  |                           |                          |  | 1020.00                   | <u> </u> |  |  |  |
|  |  | Amount to be Refunded:    | \$                       |  |                           |          |  |  |  |
|  |  |                           |                          |  | Charged:                  | \$       |  |  |  |
| a. X A check in the amount of S \$1020.00 to cover the above fees is enclosed.   |  |                           |                          |  |                           |          |  |  |  |
|  |  |                           |                          |  |                           |          |  |  |  |
| b. Please charge my Deposit Account No. <b>50-0624</b> in the amount of S to cover the above fees. A duplicate copy of this sheet is enclosed.   |  |                           |                          |  |                           |          |  |  |  |
|  |  |                           |                          |  |                           |          |  |  |  |
| c. x The Commissioner is hereby authorized to charge any additional fees which may be required or credit  Any overpayment to my Deposit Account No. 50-0624 A duplicate copy of this sheet is enclosed.    |  |                           |                          |  |                           |          |  |  |  |
|  |  |                           |                          |  |                           |          |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. |  |                           |                          |  |                           |          |  |  |  |
| SEND ALL CORRESPONDI   | -NCE-TO  |                           | 1                        | )  | $(\sim)$                  |          |  |  |  |
| James R. Crawford SIGNATURIS   |  |                           |                          |  |                           |          |  |  |  |
| FULBRIGHT & JAWORSKI L.L.P.  |  |                           |                          |  | s R. Crawford             |          |  |  |  |
| 666 Fifth Avenue   |  |                           |                          |  |                           |          |  |  |  |
| New York, New York 10103 (212) 318-3148  |  |                           |                          |  | 39,155                    |          |  |  |  |
|  | Customer No. 24972   |                           |                          |  |                           |          |  |  |  |
|  |  |                           |                          |  |                           |          |  |  |  |